

RECEIVED  
DEC 1 2001  
TECHNICAL CENTER 1600/2900

Approved for use through 10/31/2002. OMB 0651-0002  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO		Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/810,836
		Filing Date	03/16/2001
		First Named Inventor	Pierre Brown
		Group Art Unit	+635 1636
		Examiner Name	Katherine Davis
Sheet 2 of 3	Attorney Docket Number	KST-0032	

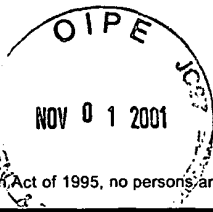
OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
KFD	1	Riechman and Meyerowitz, Biol. Chem., June 1998, Vol. 379, pp 633-646	
	2	Martin and Paz-Ares, Trends in Genetics, February 1997, Vol. 13, pp 67-73	
	3	Riechman and Meyerowitz, Biol. Chem., October 1997, Vol. 378, pp 1079-1101	
	4	Ishiguro and Nakamura, Mol. Gen. Genet., 1994, Vol. 244, pp 563-571	
	5	Zhang et al., The Plant Cell, December 1992, Vol. 4, pp 1575-1588	
	6	Kim et al., The Plant Journal, 1997, Vol. 11, pp 1237-1251	
	7	Klug and Schaefer, The FAEB J., May 1995, Vol. 9, pp 597-604	
	8	Burglin, in Guidebook to the Homeobox Genes (Editor, Duboule), pp II-V, 27-71, OUP, Oxford U.K. 1994	
	9	Forsberg and Cuatrecasas, Genes and Development, 1989, Vol. 3, pp 1166-1178	
	10	Klein et al., Mol. Gen. Genet., 1996, Vol. 250, pp 7-16	
KFD	11	Rouse et al., Science, 27 February 1998, Vol. 279, pp 1371-1373	

Examiner Signature	Katherine F. Davis	Date Considered	4-4-02
--------------------	--------------------	-----------------	--------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/810,836
		Filing Date	03/16/2001
		First Named Inventor	Pierre Brown
		Group Art Unit	1635 1636
		Examiner Name	Katherine Davis
Sheet 3 of 3	Attorney Docket Number	MBE-0032	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
KFD	12	Tucker et al, The EMBO J., 1994 Vol 13, pp 2994-3002
	13	Foster et al, The FASEB J., February 1994 Vol 8, pp 192-200
	14	de Costa e Silva et al, The Plant Journal, 1993 Vol 4, pp 125-135
	15	Hall et al, The Plant Cell, June 1998 Vol. 10, pp. 925-936
	16	Yamaguchi-Shinozaki and Shinozaki, Mol Gen Genet, 1993, vol 236, pp. 331-340
	17	Gilmour et al, The Plant Journal, 1998 Vol. 16, pp 433-442
KFD	18	Riechmann et al, Science, 15 December 2000 Vol 290, pp 2105-2110

RECEIVED  
DEC 19 2001  
TECH CENTER 1600/2900

Examiner Signature	Katherine Davis	Date Considered	4-4-02
--------------------	-----------------	-----------------	--------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.